SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

Quist S. Andrew		2. Date of Even Requiring State (Month/Day/Yea 07/12/2013	ment	3. Issuer Name and Ticker or Trading Symbol SECURITY NATIONAL FINANCIAL CORP [ SNFCA ]							
(Last)     (First)     (Middle)       272 EAST BROADLEAF CIRCLE       (Street)       DRAPER     UT     84020       (City)     (State)     (Zip)		//12/2013			ationship of Reporting Pers ( all applicable) Director Officer (give title below) VP and Assoc Gener	10% Owner Other (specify below)		<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ul>			
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Class A Common Stock					20,265 <sup>(1)</sup>	D					
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security			4. Convers or Exerc	sion C cise F	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
		Date Expiration Exercisable Date		n Title		Amount or Number of Shares	Price of Derivative Security				
Employee Stock Opt	ion (right to buy)	03/02/2012	12/02/2021	Cla	ass A Common Stock	16,538(2)	1.18	(2)	D		
Employee Stock Opt	ion (right to buy)	07/03/2012	04/13/2022	Cla	ass A Common Stock	15,750(3)	1.48	(3)	D		

Explanation of Responses:

1. Does not include 27,403 shares of Class A Common Stock owned directly by the reporting person in the 401(k) Retirement Savings Plan.

2. This option was originally reported as an option for 15,000 shares of Class A Common Stock at an exercise price of \$1.30 per share, but adjusted pursuant to the anti-dilution provisions of the 2003 Stock Option Plan to reflect a 5% stock dividend paid on February 3, 2012 and February 1, 2013.

3. This option was granted on April 14, 2012 as an option for 15,000 shares of Class A Common Stock at an exercise price of \$1.56 per share, but adjusted pursuant to the anti-dilution provisions of the 2003 Stock Option Plan to reflect a 5% stock dividend paid on February 1, 2013. This option vests in four equal quarterly installments of Class A Common Stock, beginning on July 14, 2012, until such shares are fully vested.

> /s/ S. Andrew Quist 10/09/2013 \*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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